

Federal Fiscal Year 2019/2020 Summary

10/20/2020



SMTC

Synopsis

The SMTC staff prepared the *Federal Fiscal Year Summary* to capture fiscal and capital program management relevant “end-of-year” items. This multi-page graphical summary covers a variety of topics and is supportive of a transparent, performance-based planning and programming process utilized throughout the development and maintenance of the Transportation Improvement Program (TIP). Although projects on the TIP are funded with federal and local funds, categorized as match on TIP reports, that when combined provide a “Total” cost, the information in the following pages is predominantly based on federal transportation dollars from the Federal Highway Administration (FHWA) or the Federal Transit Administration (FTA) programs, with one exception. “Major Projects” are identified according to a project’s “Total” cost of a construction phase.

Individual infographics have been created for those listed below. Infographics 1 through 11 relate to FHWA funds, while infographic 12 relates to FTA funds only.

1. Programmed Dollars by Sponsor
2. Programmed Percentages by Sponsor
3. Project Types and Funds Programmed
4. Programmed Dollars by Fund Source
5. Outside MPA Funds
6. Major Projects
7. Obligation by Sponsor
8. Project Adjustments
9. Project Adjustment Category
10. Obligation, Amendment and Administrative Modification Timelines
11. National Highway System Projects
12. FTA Programmed Funds

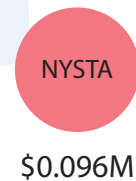
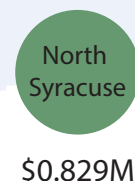
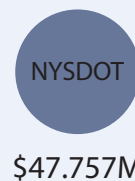
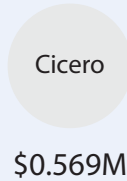
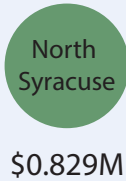
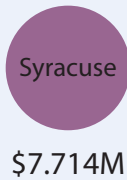
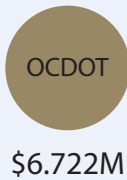
At the close of Federal Fiscal Year 2019/2020 (October 1, 2019 – September 30, 2020), the TIP had programmed \$64.315M federal FHWA funds to six sponsors. Of the six sponsors, nearly three-fourths of the funds were programmed to the New York State Department of Transportation (74%). Overall, 99% of FHWA programmed funds received obligation. Regarding FTA federal funds, \$9.596M was programmed at the end of the year: \$8.806M to Centro, \$0.790M to NYSDOT. 100% of FTA funds were obligated.

Additional information on the TIP process and projects, including the annual obligation report that details information by project, are available on the SMTC website at:

<https://smtcmpo.org/about-us/planning-process/tip/>

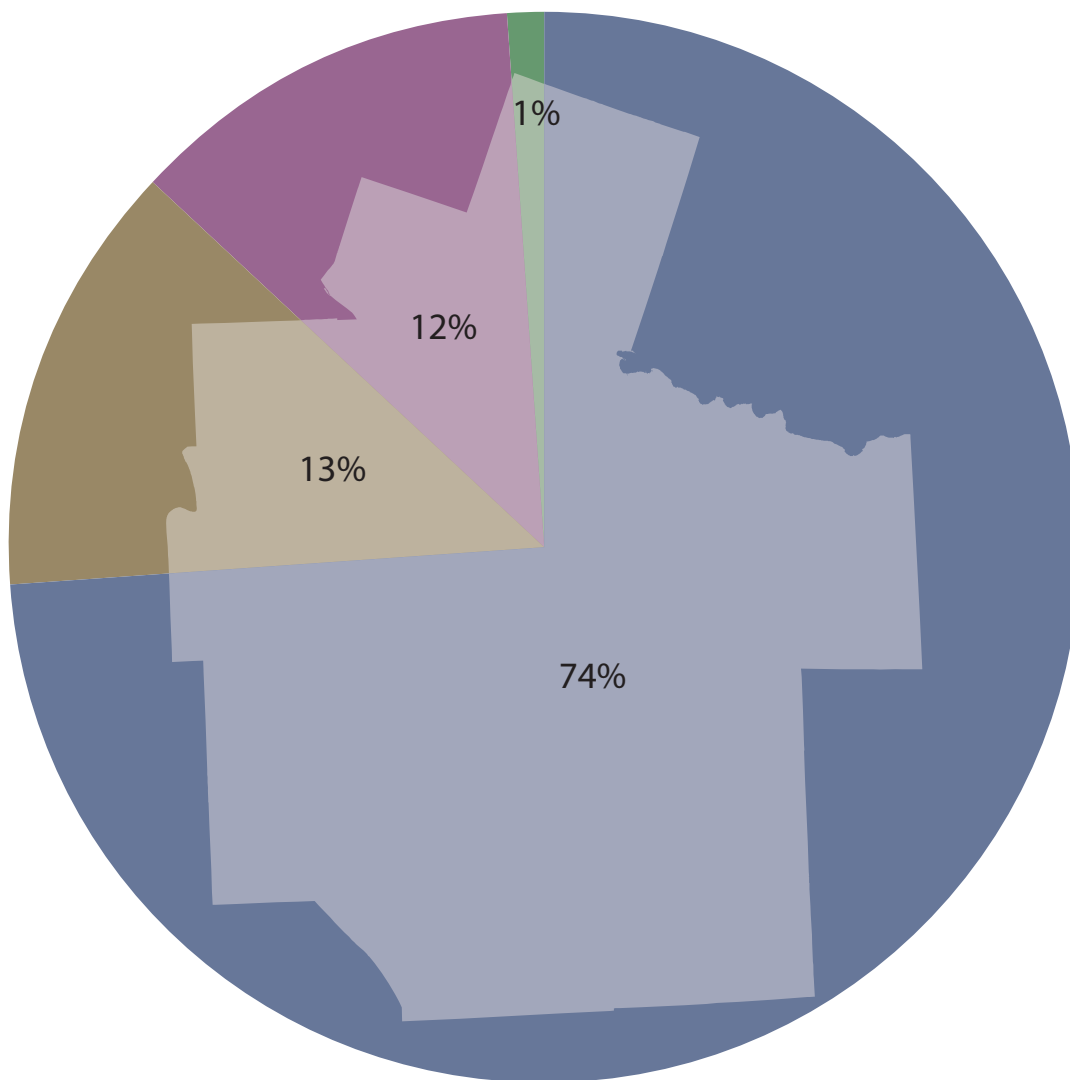
Federal Fiscal Year 2019/2020 Summary

Programmed Dollars by Sponsor



Federal Fiscal Year 2019/2020 Summary

Programmed Percentages by Sponsor



- \$64.315M federal funds programmed at the end of 2019/2020 year (September 30, 2020)

- Cicero, and NYSTA equate to less than 1%



Federal Fiscal Year 2019/2020 Summary

Project Type and Funds Programmed

- Summary based on funds programmed to any project phase. Values do not represent just construction activity.



5

Bike/Ped

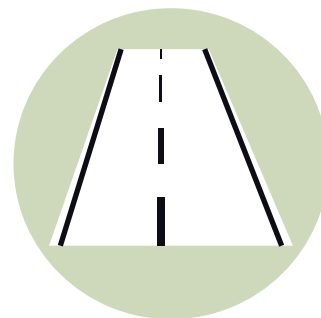
\$3.050M



9

Bridge

\$18.498M



18

Paving

\$29.405M



5

Safety

\$2.678M



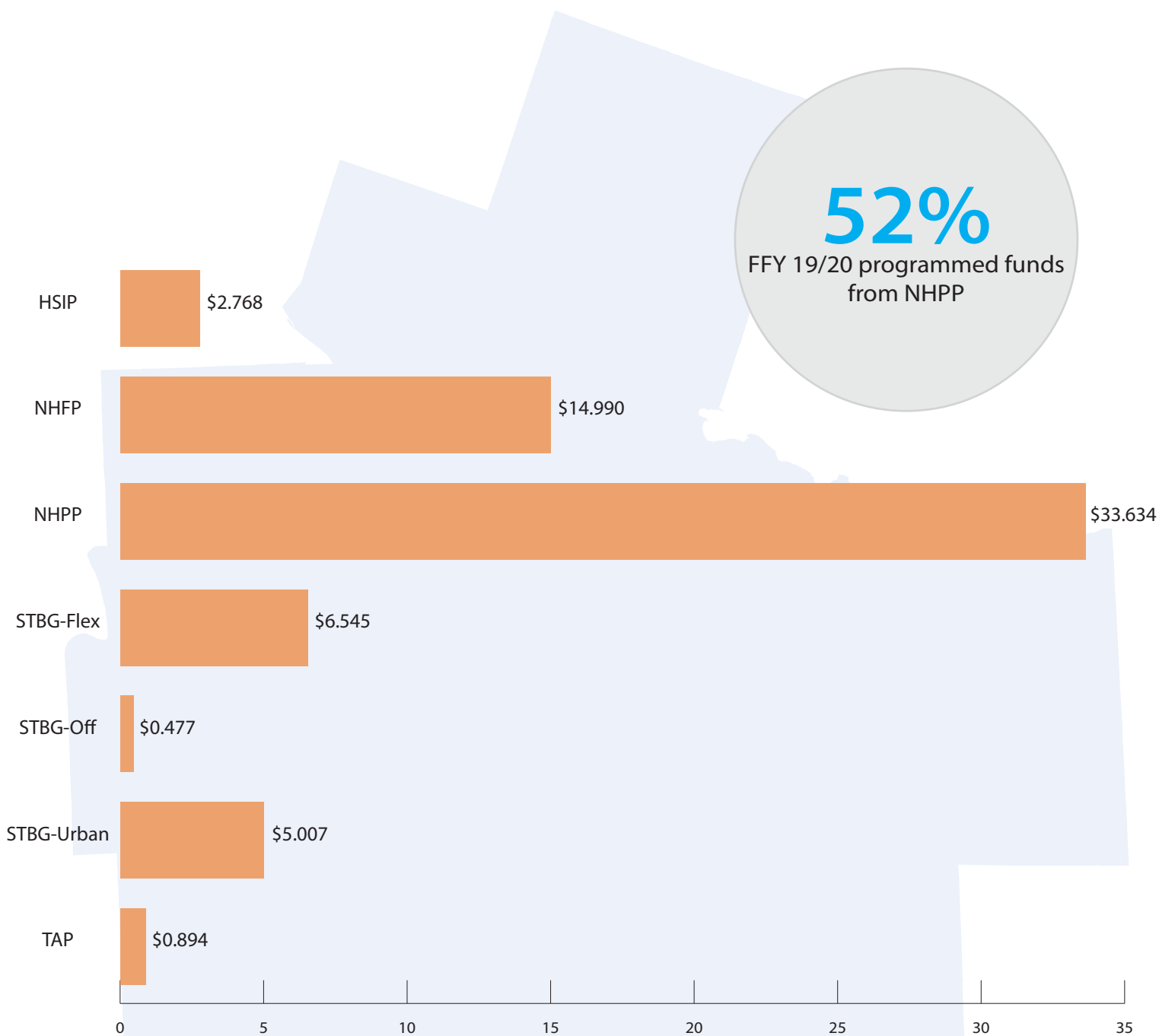
6

Special

\$10.684M

Federal Fiscal Year 2019/2020 Summary

Programmed Dollars by Fund Source



52%
FFY 19/20 programmed funds
from NHPP

- HSIP (Highway Safety Improvement Program)
- NHFP (National Highway Freight Program)
- NHPP (National Highway Performance Program)
- STBG-Flex (Surface Transportation Block Group - Flexible)
- STBG-Off (Surface Transportation Block Group - Off System Bridge)
- STBG-Urban (Surface Transportation Block Group - Large Urban)
- TAP (Transportation Alternatives Program)



Federal Fiscal Year 2019/2020 Summary

Outside MPA Funds

- Funds (core and non-core) programmed to projects from outside the Metropolitan Planning Area (MPA) such as via statewide solicitations, Congressional appropriations, or non-MPA planning targets.



\$9.310M

Core funds

- HSIP (Highway Safety Improvement Program)
- NHPP (National Highway Performance Program)
- STBG-Flex (Surface Transportation Block Group - Flexible)
- STBG-Off (Surface Transportation Block Group - Off System Bridge)
- STBG-Urban (Surface Transportation Block Group - Large Urban)

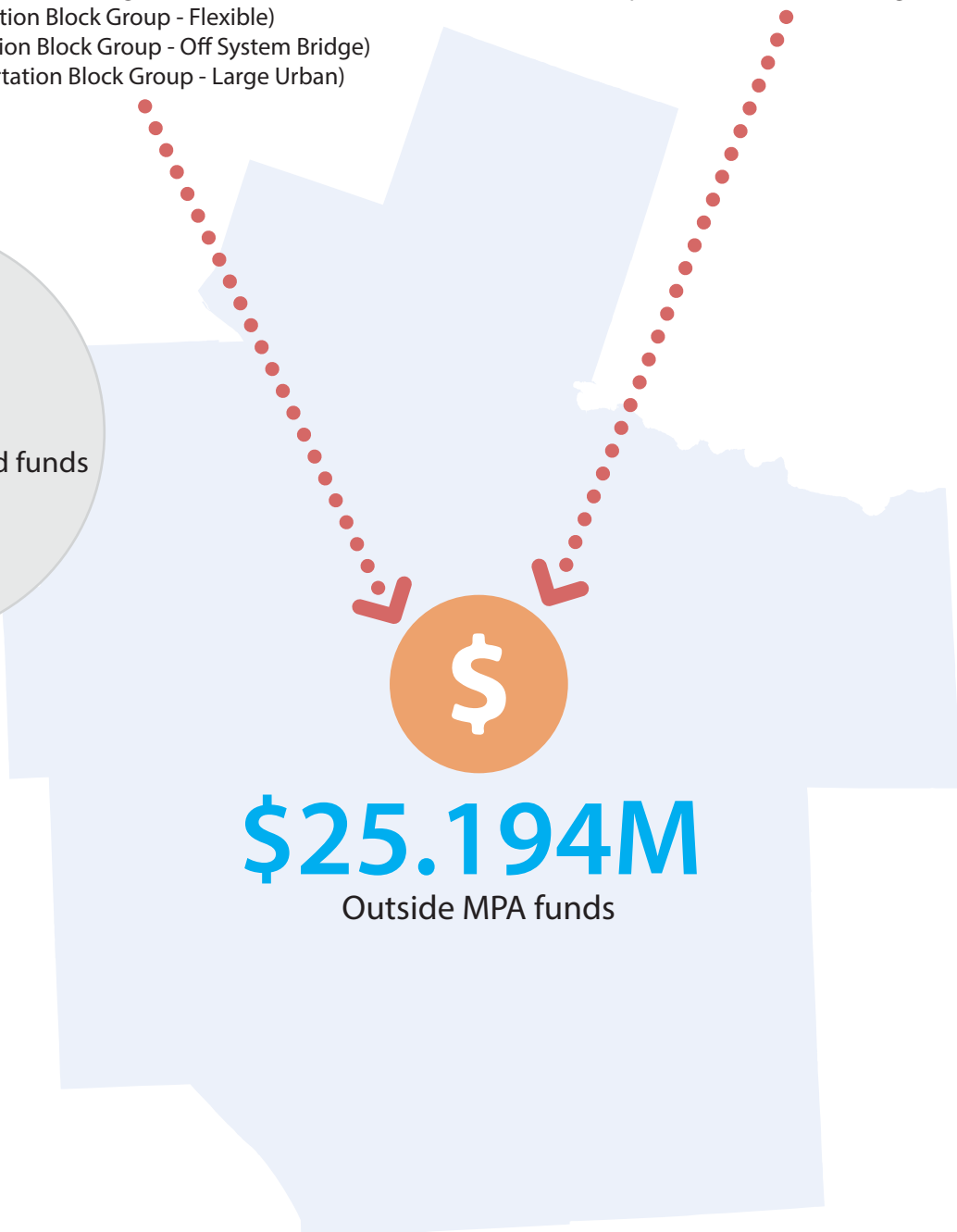


\$15.884M

Non-core funds

- NHFP (National Highway Freight Program)
- TAP (Transportation Alternatives Program)

39%
FFY 19/20 programmed funds

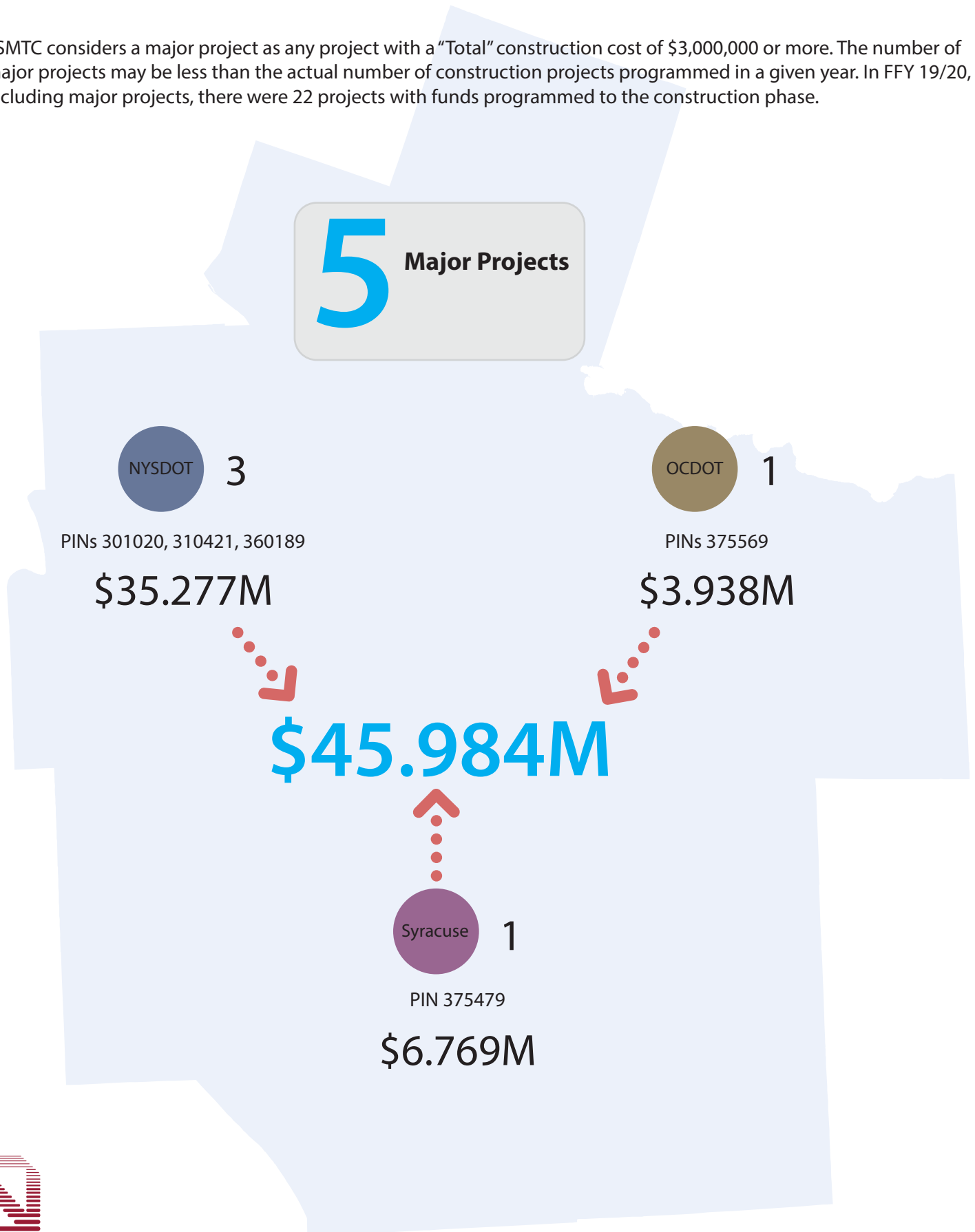


\$25.194M

Outside MPA funds

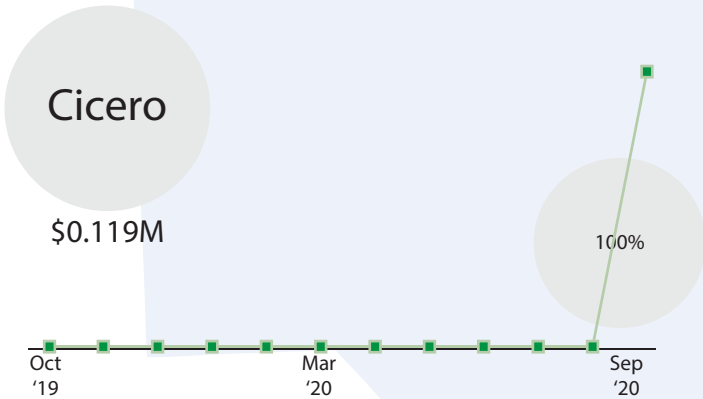
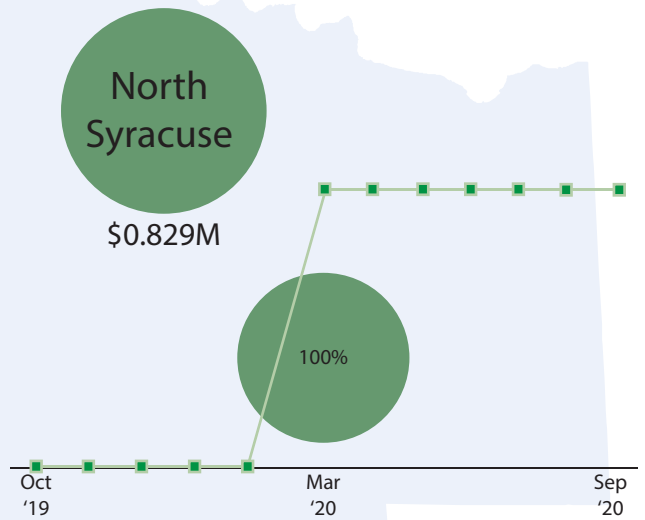
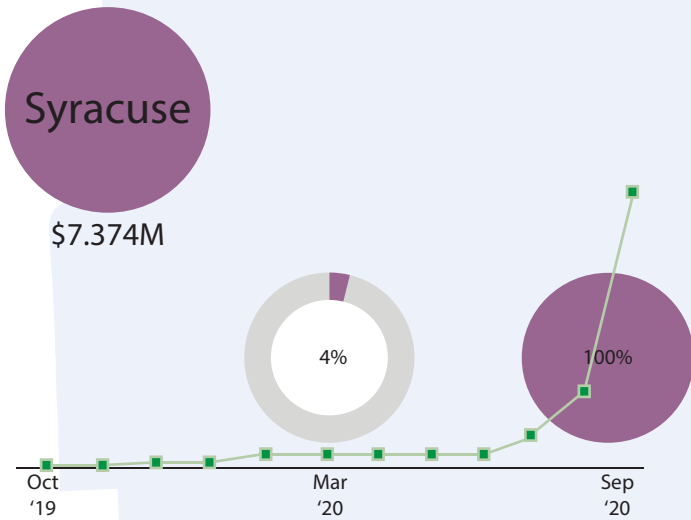
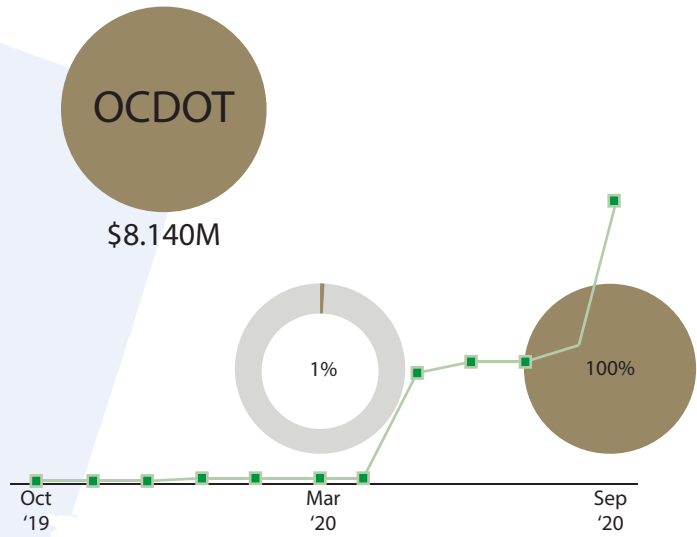
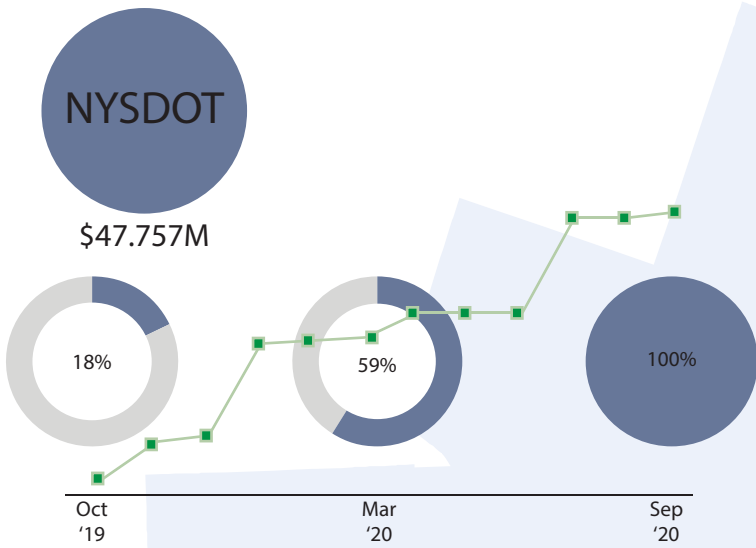
Major Projects

- SMTC considers a major project as any project with a "Total" construction cost of \$3,000,000 or more. The number of major projects may be less than the actual number of construction projects programmed in a given year. In FFY 19/20, including major projects, there were 22 projects with funds programmed to the construction phase.



Federal Fiscal Year 2019/2020 Summary

Obligation by Sponsor



99%
overall for
FFY 19/20

- NYSTA funds delayed on STIP but not TIP. Therefore, \$96,000 classified as unobligated by SMTc.



Project Adjustments



Amendments

28

25 Executive
3 Policy

NYSDOT

18

OCDOT

3

Syracuse

3

Cicero

2

NYSTA

2

- Committee approval required (i.e., addition or deletion of project, phase delay or significant cost increase).



Administrative Modifications

4

NYSDOT

2

OCDOT

1

Cicero

1

- Committee approval not required (i.e., minor cost increase or decrease).

Project Adjustment Category



6

New Projects Added

PINs 30PS03, 360417, 360418, 380773, TWSE20, TWSW20



2

Projects Requested More Funds through Admin Mod

PINs 375471, 375629

11

Project Delays (phase)

PINs 30PS03, 304368, 328723, 350152, 360282, 375495, 375622, 375626, 380719, 380773, 395074

1

Project Requested Fund Source Change through Admin Mod

PIN 380728

9

Projects Requested More Funds through Amendment

PINs 310421, 350160, 360189, 360310, 375606, 375629, 380750, 380764 (2x)

2

Projects Requested Change to Project Limits through Admin Mod

PINs 305627, 360278

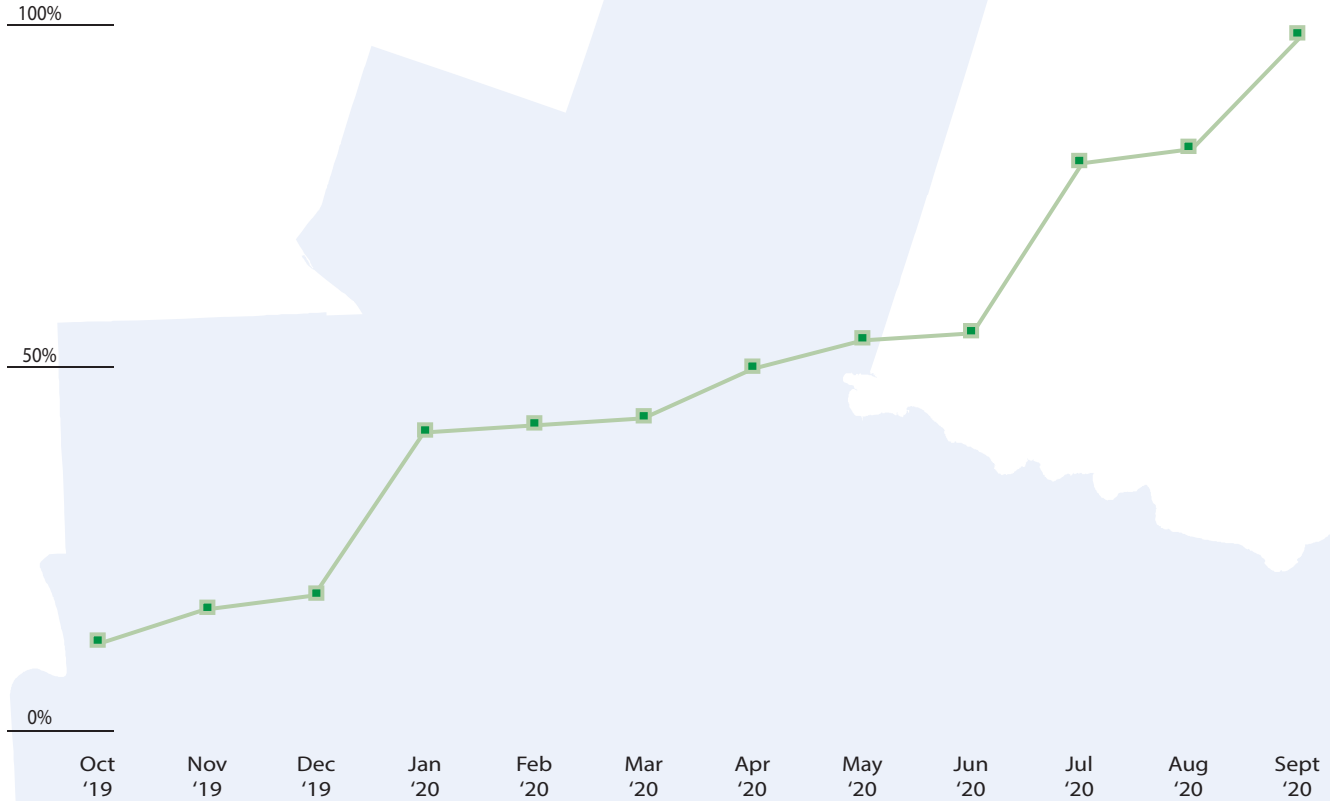


- Two amendments, not reflected in above boxes were brought forward to show project adjustments.

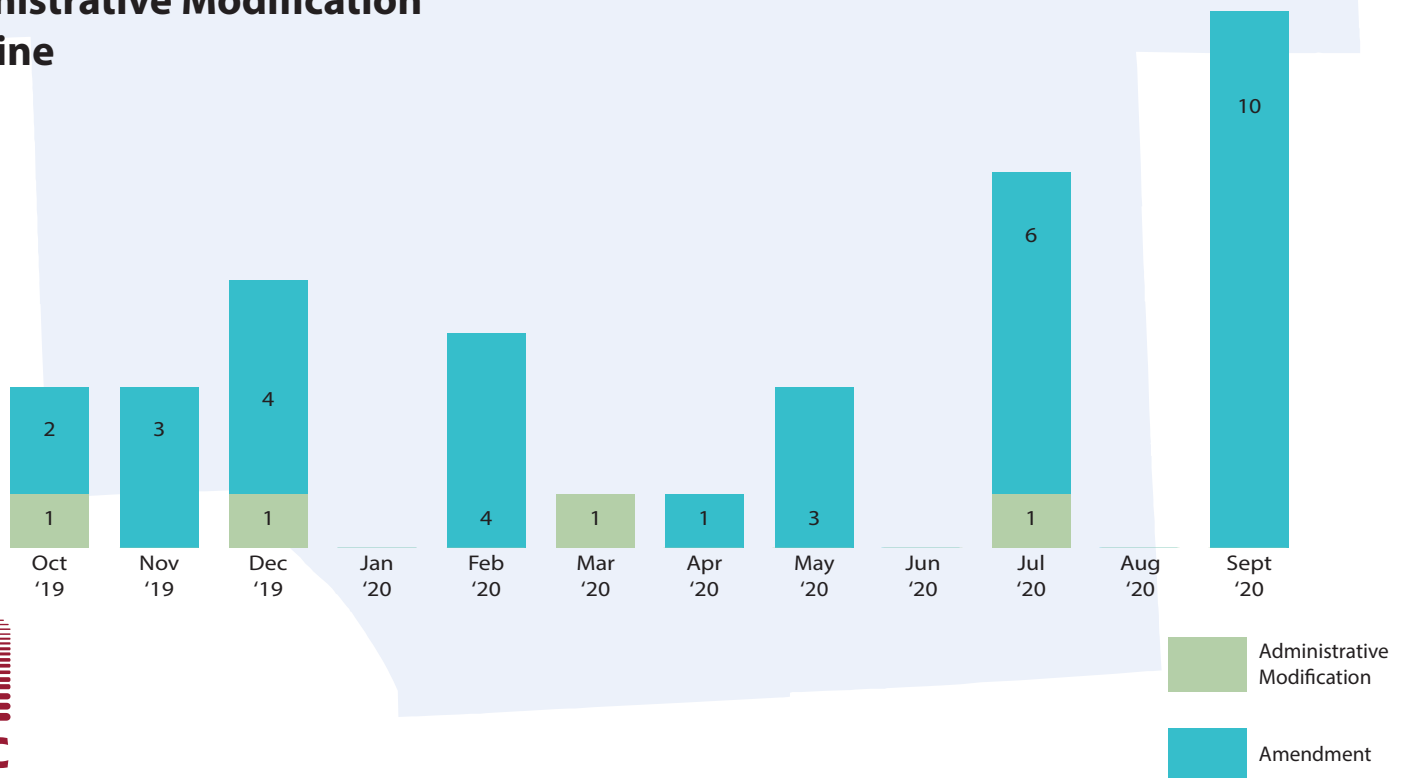
Federal Fiscal Year 2019/2020 Summary

Obligation, Amendment & Admin Mod Timelines

Obligation Timeline



Amendment & Administrative Modification Timeline

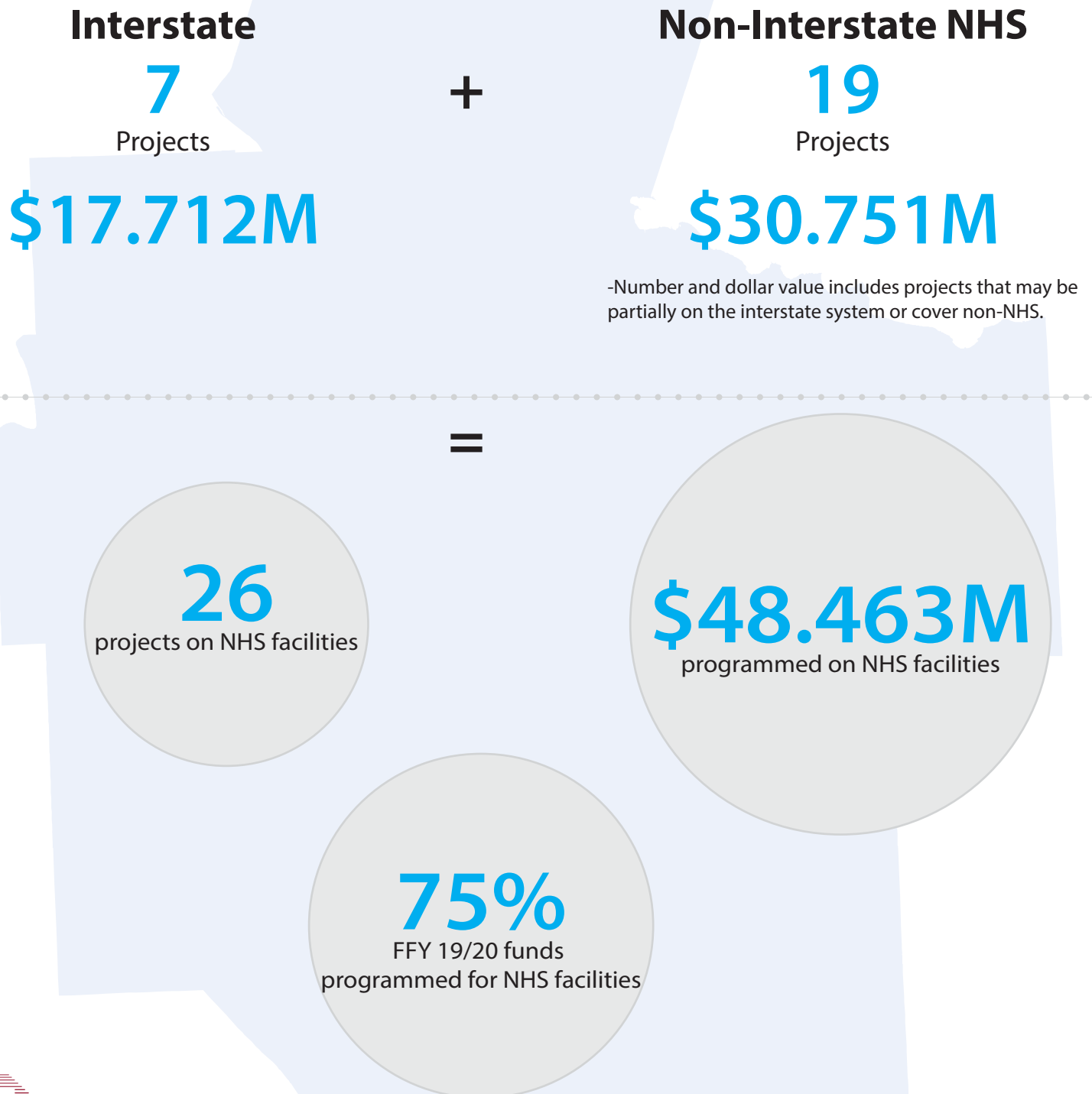


Administrative Modification
Amendment

Federal Fiscal Year 2019/2020 Summary

National Highway System Projects

- Federal surface transportation authorizations emphasize a performance-based planning & programming process. Several national performance measures are established that relate to conditions, and reliability of, the National Highway System (NHS). The graphic is reflective of funds programmed in FFY 19/20 to NHS facilities for any project phase, and not limited strictly to construction.



Note: values may be lower as calculations include several region wide, multiple location projects that have partial NHS coverage.

Federal Fiscal Year 2019/2020 Summary

FTA Programmed Funds

- In FFY 19/20, the majority of FTA funds were programmed to Centro. \$790,000 was programmed to NYSDOT as a result of the 2020 FTA Section 5310 solicitation.



\$8.486M

Start of Year



\$9.596M

End of Year



6

Projects

100%

obligation for
FFY 19/20



Amendments

3

3 Executive

3

New Projects Added

PINs 382926, 382927, 382928

2

Projects Requested More Funds through Amendment

PINs 382856, 382861